

DEPARTMENT OF CORPORATIONS

SACRAMENTO, CALIFORNIA



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FILE NO: ALPHA

To Interested Persons:

The California Department of Corporations' ("Department") Year 2000 readiness preparation is proceeding under the direction of the California Department of Information Technology.

The Department has determined that, in general, all of the Department's information technology applications are Year 2000 compliant. In particular, all of the Department's mission-critical systems are Year 2000 compliant. The systems have been tested by the Department's Information Technology Unit. Further, the Department has obtained Year 2000 certificates from the manufacturers for all of the Department's desktop hardware and software systems. All of the Department's embedded systems have been found to be Year 2000 compliant, and the Department has obtained compliance certificates from its various vendors.

Furthermore, the Department has a process in place to ensure that remediated systems continue to stay Year 2000 compliant. To address its business continuity and contingency planning needs, the Department has a detailed operational recovery plan.

California's Year 2000 Program Management Office has developed a process to conduct a detailed assessment of each state agency's Y2K readiness. The report on the Department of Corporations' Year 2000 preparedness can be located at the following website: http://www.year2000.ca.gov/pmo/state_entities.asp#c. The site also provides information about how to understand the content of the report.

This information on the status of the Department's Year 2000 compliance efforts is hereby designated as a Year 2000 Readiness Disclosure pursuant to the Year 2000 Information and Readiness Disclosure Act (S. 2392, 105th Cong., 2d Sess. (1998))("the Act"), and is subject to the protections of the Act.

Information regarding the Year 2000 preparedness of any product or system other than the Department's custom systems is a "republication" under the Act and is based on information supplied by individual vendors and manufacturers about their businesses, services, or products. The Department may not have independently verified the Year 2000 preparedness for products or systems for which the Department has obtained compliance certificates from vendors or manufacturers, and the Department takes no responsibility for the accuracy of such compliance certificates.

If you have any further questions, please contact Dennis Merritt, Chief Information Officer at (916) 323-7392.

Very truly yours,

DEPARTMENT OF CORPORATIONS

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